



Introduction to the Indian Community Development Block Grant (ICDBG) Program

9/30/14 1



Today's Agenda

- Part 1 – “Regular” ICDBG grants
- Part 2 – New funds for mold remediation and prevention

9/30/14 2



Today's Agenda

- Part 1
 - Purpose and overview of ICDBG
 - Eligible applicants, uses, and program requirements
 - Screening and rating factors
 - Review process and timing

9/30/14 3



Today's Agenda

- Part 2
 - Criteria in NOFA – definitions, rating factors, and scoring
 - Overview of mold health effects, assessment and remediation
 - Where to find help

9/30/14 4



Changes from FY 2013

- Two categories of funding
- New way to slice the pie
- New ways to determine LMI
- Points for spending past funds
- Points for shovel-ready projects
- Points if not funded in the recent years

9/30/14 5



Two Categories

- Can apply for and receive both
- Can use both categories for same project or different types of projects

9/30/14 6



Program Description

To develop viable Indian and Alaska Native communities by creating decent housing, suitable living environments & economic opportunities primarily for LMI persons

9/30/14 7



National Objective

- 24 CFR 1003.208
- 70% of expenditures must benefit LMI
- Four options:
 - Area benefit
 - Limited clientele
 - Housing
 - Job creation/retention

9/30/14 8



Types of Grants

- Single Purpose Grants
 - Category 1 - Regular
 - Category 2 - Mold remediation and prevention
- Imminent Threat Grants

9/30/14 9



Imminent Threat Allocation

- No Area Office allocations
- Funds used to remove imminent threats to health or safety
- Must meet regulatory criteria
- Must be spent within 24 months
- Limited to \$450,000

9/30/14 10



Available Funds

Total is \$70,000,000

- ❖ Imminent Threat – Up to \$3,960,000
- ❖ Single Purpose Grants - \$66,040,000
 - Mold Remediation - \$10,000,000

9/30/14 11



Single Purpose Grants Allocations

- EWONAP - \$ 4,441,622
- SPONAP - \$13,343,479
- NPONAP - \$ 8,580,551
- SWONAP - \$19,867,953
- NWONAP - \$ 3,029,710
- AONAP - \$ 6,955,906
- TOTAL - \$56,219,222

9/30/14 12



Grant Ceilings

- Northwest grant ceiling is:
Category 1 grant - \$500,000
Category 2 grant - \$400,000
- One of the screening factors
- If exceeded, application will not be rated

9/30/14 13



New NOFA Definitions

- Tribally owned or operated housing
 - Means housing that is currently owned or operated by an Indian tribe or TDHE, or was previously assisted with HUD funding
 - Applies to Category Two projects for mold remediation

9/30/14 14



Eligible Applicants

- Indian tribe, band, group, or nation including Alaska Indians, Aleuts and Eskimos and any Alaska Native Village
- Tribal organizations submitting on behalf of tribes

9/30/14 15



Cost Sharing or Matching

- Not required for ICDBG
- Points awarded for leveraging
- ICDBG funds can be used as a match for other programs

9/30/14 16



Eligible Activities

- 24 CFR Part 1003, Subpart C
- Section III.C.5 of ICDBG NOFA
- Rating Factors 2 and 3

9/30/14 17



Eligible Activities

Most Common Activities:

- Housing Rehabilitation
- Land Acquisition for New Housing
- Homeownership Assistance
- Public Facilities & Improvements
- Economic Development
- Microenterprise Programs

9/30/14 18



Other Eligible Activities

- Acquisition of Property
- Clearance and Demolition
- Comprehensive Planning
- Lead-based Paint Evaluation/Hazard Control
- Non-Federal Share
- Privately and Publicly Owned Commercial or Industrial Buildings
- Mold

9/30/14 19



Eligible Activities

- New Housing Construction may only be implemented through a Community Based Development Organization (CBDO)

9/30/14 20



Eligible Activities

Housing Rehabilitation

- Rental or homebuyer
- Publicly/privately owned
- Grants, loans, guarantees, interest supplements
- Multiple activity types
- Labor, materials, principal fixtures, security devices

9/30/14 21



Eligible Activities

Land Acquisition

- In conjunction with Housing, Public Facilities & Improvements, Economic Development
- Land alone can be acquired for future housing development

9/30/14 22



Eligible Activities

Homeownership Assistance

- Only for LMI households
- Subsidize interest/principal
- Acquire guarantees from lenders
- Up to 50% of down-payment
- Pay reasonable closing costs
- Eligible activity vs. public service

9/30/14 23



Eligible Activities

Public Facilities & Improvements

- Community Facilities
- Special Needs Facilities
- Infrastructure

9/30/14 24



Eligible Activities

Economic Development

- Acquisition, construction, rehabilitation or installation of bldgs or equipment
- Assistance to non-profit and for profit organizations
- Special requirements for for-profits

9/30/14 25



Eligible Activities

Microenterprises

- Owner must be LMI and have five or fewer employees
- Funds used for grants, loans, TA, and general support
- No 15% public service cap

9/30/14 26



Eligibility of Government Facilities

- Distinction between government office buildings vs. public facilities where services are provided
- Police stations, jails, libraries, mini city halls are eligible
- Courthouses, local government offices and other government headquarters are ineligible

9/30/14 27



Ineligible Activities

- Maintenance & Operations
- New housing construction, unless carried out by a CBDO
- Furnishings and personal property
- Construction tools/equipment
- Income payments

9/30/14 28



Technical Assistance Electronic Grant Applications

- www.hud.gov/offices/adm/grants/fundsavail.cfm
- www.hud.gov/webcasts/index.cfm
- Portal.hud.gov/hudportal/HUD?src=/Program_offices/administration/foia/highscore

9/30/14 29



Application, Forms, Required Elements

- Tribal resolution on citizen participation - MUST be tribe
- Map
- Low- and moderate-income (LMI) benefit

9/30/14 30



Measuring LMI Benefit (Area Benefit)

- Activities that benefit all residents of an area where at least 51% of residents are LMI persons
- Area must be primarily residential

9/30/14 31



Measuring LMI Benefit (Limited Clientele)

- Clientele presumed to be 51% LMI
- 51% of surveyed participants are LMI
- Participation limited to LMI
- Nature of activity/location indicates LMI benefit

9/30/14 32



Measuring LMI Benefit (Housing)

- Residential structures provided/improved must be occupied by LMI persons
 - Single family structure - LMI occupancy
 - One unit of duplex - LMI occupancy
 - Structures w/3 or more units must have at least 51% LMI

9/30/14 33



Measuring LMI (Job Creation/Retention)

- Activities must create or retain permanent jobs and
- 51% of jobs created/retained must be available to or held by LMI persons

9/30/14 34



Measuring LMI –More Info

- Additional training on LMI data on July 2
- Website for data downloads – <http://www.huduser.org/portal/icdbg/home.html>
- HUD User website will be revised to include data in all options

9/30/14 35



Application, Forms, Required Elements

- Demographic Data
 - If applicable applicant should submit data that complies with requirements in Rating Factor 2 of NOFA
 - Must benefit the neediest segment of the population

9/30/14 36



Review and Selection Process

- Application received by deadline and per requirements
- Applicant is eligible
- Proposed project is eligible
- Application contains all required components
- At least 70% of funds must benefit LMI persons

9/30/14 37



Review & Selection Process

- Threshold compliance
- Past Performance evaluated under Factor 1
- Rating
- Minimum Points

9/30/14 38



Review & Selection Process

- Ranking
 - All types of projects ranked against all others
- Tiebreakers
 - Standardized across AONAPs
 - Projects selected that can be fully funded over those that cannot be fully funded

9/30/14 39



Anticipated Award Dates

- Pre-awards must be met
- Congressional release
- Awards announced in October
- Execute grant agreement – special conditions

9/30/14 40



Post Award Reporting Requirements

- Quarterly Federal Financial Reports (SF-425)
- Annual Status & Evaluation Report
- Minority Business Enterprise Reports
- Close-Out Report

9/30/14 41



General Questions

- NwONAP (206) 220-5270
- Electronic Submission –
Call 800-518-4726
or support@grants.gov

9/30/14 42



Technical Assistance (TA)

- HUD Reform Act (Section 103)
- General guidance and TA can be provided about the NOFA terms and regulations.
- HUD staff cannot help prepare the application.

9/30/14 43



OnLine Training

- Online ICDBG training at:
<http://www.hud.gov/offices/pih/ih/grants/icdbg.cfm>

9/30/14 44

Fiscal Year 2014 Indian Community Development Block Grant (ICDBG) Program



NOFA Training Part 2

Part 2 Agenda

- Focus on Category Two funds for mold remediation and prevention
- Criteria in NOFA – definitions, rating factors, scoring
- Where to find help

9/30/14

Congressional Set-aside

- Pub L. 113-76
- *“Of the amounts made available under the previous proviso, \$10,000,000 shall be for grants for mold remediation and prevention that shall be awarded through one national competition to Native American tribes with the greatest need.”*

9/30/14

Reason for Setaside

- Study in 2003 found prevalence of mold in tribal housing
- Mold exposure linked to respiratory symptoms and asthma
- Congress said ONAP must work with HUD Office of Healthy Homes

9/30/14

Available Funds

- Mold Remediation - \$10,000,000
- No allocation per regions
- National competition
- Applications rated by a national panel in headquarters

9/30/14

Mold Remediation and Prevention

- NOFA includes a three part definition of mold remediation and prevention
- Mold is defined as visible growth of fungi on surfaces of units/residential buildings or their interior

9/30/14

Mold Remediation and Prevention

- **Mold remediation** is defined as long term solutions to a mold problem including mold that has grown on surfaces and addressing the source of the moisture entering or being dispersed

9/30/14

Mold Remediation and Prevention

- **Mold prevention** includes action taken when mold is present in minute amounts to significantly reduce the likelihood of mold occurring or significantly growing

9/30/14

New Definition

- **Tribally owned or operated housing**
 - Means housing that is currently owned or operated by an Indian tribe or TDHE, or was previously assisted with HUD funding

9/30/14

Grant Ceilings in NOFA

- One ceiling nationwide - \$400,000
- One of the screening factors
- If exceeded, application not rated

9/30/14

Eligible Applicants

- Same entities that are eligible for Category One funds
- One applicant must submit one application for both categories

9/30/14

Housing Rehab Cost Limits

Eastern Woodlands	\$35,000
Southern Plains	\$35,000
Northern Plains	\$50,000
Southwest	\$65,000
Northwest	\$50,000
Alaska	\$75,000

9/30/14

Rating Factors

- 1. Capacity of the applicant**
- 2. Need/Extent of the Problem**
- 3. Soundness of Approach**
- 4. Leveraging Resources**
- 5. Comprehensiveness and Coordination**

9/30/14